

*Mark J. Muller Equities, Inc.*

Material Aspects:

Mark J. Muller Equities, Inc. ("MJM") pays exchange, regulatory, market data and clearing fees to the NYSE and receives exchange rebates as credits where applicable. MJM does not accept payment for order flow, nor does it have any contract of money value with venues. MJM may receive rebates from venues, but the rebates are not guaranteed. MJM is not involved in any profit-sharing arrangement.